



Bank of Record Form

(to establish ACHs and Wires)

Complete and return to:

Brinker Capital Investments, LLC

17605 Wright St. Omaha, NE 68130

Telephone: 888.455.4244

Fax: 402.493.2811

Please use this form if you would like to deduct Brinker advisory fees from your checking or savings account.

Your Account.

Account Name:		Account Number:
Address:		
City:	State:	ZIP Code:
Representative Name:	Date of Birth (mm/dd/yyyy):	Phone Number:

Payment Instructions.

☐ **ACH Transfer**

ACH Transfers must have a voided check attached to this form. The ACH will not be established without a voided check.

Please complete the following financial institution information for ACH transfers:

Name on Account: _____

Account Number: _____

Name of Bank: _____

Bank Phone Number: _____

ABA Routing Number: _____

Type of account: ☐ Checking ☐ Savings

Privacy Policy of Brinker Capital Investments, LLC.

Please see attached for Brinker's Privacy Policy.

Certification and Signature. Please read and sign below.

I hereby authorize and direct the withdrawal specified herein. I certify that the information provided on this form is true and correct.

Client: _____ Date: _____

Co-client: _____ Date: _____

FACTS		WHAT DOES BRINKER CAPITAL INVESTMENTS, LLC (“BRINKER”) DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.		
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none">• Social Security number• employment information• account balances• risk tolerance• account transactions• assets and income• investment experience• transaction history and wire transfer instructions <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>		
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Brinker chooses to share; and whether you can limit this sharing.		
REASONS WE CAN SHARE YOUR PERSONAL INFORMATION		DOES BRINKER SHARE?	CAN YOU LIMIT THIS SHARING?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations or report to credit bureaus		Yes	No
For our marketing purposes – to offer our products and services to you		Yes	No
For joint marketing with other financial companies		Yes	No
For our affiliates’ everyday business purposes – information about your transactions and experiences		Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness		No	We do not share
For our affiliates to market to you		No	We do not share
For non-affiliates to market to you		No	We do not share
Who We Are			
Who is providing this notice?		Brinker Capital Investments, LLC	

What We Do	
How does Brinker protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Brinker collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • open an account • provide account information • give us your income information • give us your contact information • provide employment information • enter into an investment advisory contract • tell us about your investments or retirement portfolio • seek advice about your investments
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>Our affiliates include Advizr, Inc., Brinker Capital Holdings, LLC, Brinker Capital Securities, LLC, Constellation Trust Company, NorthStar Advizr Holdings, Inc., NorthStar CTC Holdings, Inc., NorthStar Financial Services Group, LLC, Orion Advisor Solutions, Inc., Orion Advisor Solutions, LLC, Orion Advisor Technology, LLC, and Orion Portfolio Solutions, LLC.</p>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • Brinker does not share with nonaffiliates so they can market to you.
Joint Marketing	<p>A formal agreement between non affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • Our joint marketing partners include financial institutions.
Other Important Information	
Questions?	Call (888) 455-4244 or go to www.brinkercapitalinvestments.com