Which of the following items would you like to update? Mark all that apply.

- ☐ Risk Budget Change
- ☐ Lifestyle Options
- ☐ Protection Level Change
- ☐ Managed Income Preferences Change
- ☐ Billing Instruction Change
- ☐ Special Instructions Request

Financial Account Change Request Form

Complete and return to:
Brinker Capital Investments, LLC
17605 Wright Street
Omaha, NE 68130
Telephone: 888-455-4244 | Fax: 402-493-2811
Email: CLSSG1@orion.com

Client ID (Brinker Use Only):______________

1 Your Current Account Information.

This section must be completed in full in order for the requested changes to be made. If there are any questions regarding the registrations marked, or instructions on this form, Brinker Capital Investments, LLC ("Brinker") will contact you or your representative for clarification. Please list below the accounts affected by this change:

Account Type (e.g. IRA)	Account Registration	Fund Family/Custodian	Account #	Value (approx.)

2 Risk Budget Score Assignment.

☐ Initial here

Please either impose a score or complete the questionnaire below to have your score calculated for you.

I would like to impose a score (expressed as a percentage of the risk of a well-diversified equity portfolio) of: ______%

The higher your score, the more aggressively your portfolio will be allocated. A score under 20 will be increased and the portfolio will be managed at a Risk Budget 20.

1. Which one of the following best describes your primary investment objective?

____

Initial here if you would like to impose your own Risk Budget score.

Wealth Accumulation	Wealth Maintenance	Wealth Distribution
Emphasis on continued capital appreciation in accordance with your overall risk tolerance.	*Emphasis on maintaining a desired lifestyle or level of financial security.*	*Emphasis on using wealth for living expenses.*
○ (12)	○ (6)	○ (1)

2. Approximately how many years do you expect to continue to invest your assets in the stock market?

0 - 3 years	3 - 5 years	6 - 10 years	11 - 15 years	Greater than 15 years
○ (0)	○ (4)	○ (8)	○ (12)	○ (16)

3. Assume you are investing $100,000 and can choose from the five hypothetical portfolios shown in the table to the right. The dollar values for each portfolio represent two possible returns – low and high – after one year.

Assuming there is an equal chance of achieving either result (low or high), indicate which portfolio represents the maximum risk/return trade-off you would be willing to accept.

		Possible High Value	Possible Low Value
○ (12)	**Portfolio A**	$148,352	$58,863
○ (9)	**Portfolio B**	$139,263	$67,529
○ (6)	**Portfolio C**	$130,146	$77,073
○ (3)	**Portfolio D**	$121,834	$87,514
○ (0)	**Portfolio E**	$114,051	$98,860

The maximum gain or loss on an investment is impossible to predict. The ranges shown in the chart are hypothetical and are designed solely to gauge an investor's tolerance for risk.

4. Please indicate the level of risk with which you are most comfortable (select one response):

○ (0) ○ (2) ○ (4) ○ (6) ○ (8) ○ (10) ○ (12) ○ (14) ○ (16) ○ (18) ○ (20)

If you select "0","1", or "2", Brinker's investment methodology may not be appropriate for your portfolio.

5. How long are you prepared to wait for your account to return to its original value after a down market?

Less than 18 months	18 months - 2 years	2-3 years	3-5 years	More than 5 years
○ (0)	○ (4)	○ (8)	○ (12)	○ (16)

RISK BUDGET SCORE ASSIGNMENT CONTINUED ON PAGE 2.

Risk Budget Score Assignment Continued.

6. My current and future income (salary, pension, etc.) is:	Very secure/ stable (12)	Mostly secure/ stable (9)	Secure/stable (6)	Somewhat secure/stable (3)	Not secure/stable (0)
7. How able are you to handle financial emergencies with assets outside of your Brinker account(s)?	Very able (12)	Mostly able (9)	Able (6)	Somewhat able (3)	Not able (0)

3 **Lifestyle Options.**

- ◯ Please enroll me in the Lifestyle Option. By selecting this option I understand that my risk budget will decrease by one point each year. Therefore, as I age, my portfolio will become more conservative.
- ◯ Please remove the Lifestyle Option from my account. By selecting this option I understand that my risk budget will not change unless requested by me.

4 **Protection Level Change.**

AdvisorOne Protection:

Change the protection level in my account to: ◯ 30% ◯ 50% ◯ 70%

There are risk budget limitations for each level of protection requested. For a protection of 30% you may have a risk budget range of 30-90. For a Protection of 50% or 70% your risk budget range is 50 – 90. If the calculated risk for your risk budget is out of the range for your protection, Brinker will adjust your Protection to meet your Risk Budget score.

5 **For Managed Income Strategy Only. Managed Income Preferences Change.**

Do you want to use the Reserve Account? ◯ Yes (specify amount below) ◯ *No*

The Reserve Account is a low-risk managed account comprised of low-duration bonds for approaching income needs.

Reserve Account $ ______________________

6 **Advisory Billing Instruction Change.**

Complete this section if you would like to change your billing instructions. Please change my billing instructions to:

- ◯ Automatic Deduction from Managed Assets
- ◯ Automatic Deduction from Bank Account *(please complete Brinker Bank of Record form and include voided check)*
- ◯ Deduction from Other Managed Asset: ______________________
- ◯ Other: ______________________

7 **Special Instructions Request.**

Use the following space to make any special requests regarding your account.

8 **Client Signatures.**

I/we hereby certify that the information contained within this Change Request Form is complete and accurate, and I/we authorize Brinker to make the changes requested in this Change Request Form.

Client Signature	Date
Printed Name	
Co-Client Signature	Date
Printed Name	

All clients listed on the account must sign.