

**Which of the following items would you like to update?
Mark all that apply.**

**Non-Financial Account Change
Request Form**

- ☐ Name Change ☐ Change of Financial Adviser ☐ Add Rep Authorization
☐ Contact Info Change ☐ Special Instructions ☐ Electronic Delivery of Notices
☐ Termination ☐ Householding

Complete and return to:
Brinker Capital Investments, LLC
17605 Wright Street
Omaha, NE 68130
Telephone: 888-455-4244 | Fax: 402-431-4498
Email: clsnonfinancial@orion.com

Client ID (Brinker Use Only): _____

1 Your Current Account Information.

This section must be completed in full in order for the requested changes to be made. If there are any questions regarding the registrations marked, or instructions on this form, Brinker Capital Investments, LLC ("Brinker") will contact you or your representative for clarification. Please list below the accounts affected by this change:

Account Type (e.g. IRA)	Account Registration	Fund Family/Custodian	Account #	Value (approx.)

2 Name Change.

You must attach supporting documentation such as a copy of your marriage certificate or divorce decree.

New Name

3 Contact Information Change.

New Physical Address

New Mailing Address

City

City

State Zip

State Zip

New Phone Number

New Email Address

4 Householding.

For accounts that share the same mailing address, Brinker has the ability to combine multiple accounts into the same "household". This benefits you because all of your accounts will be reported on the same statement and you only need to make updates, such as address changes, once and they will be applied across all of your accounts. Accounts within the same household also get combined for breakpoint credit when we calculate your advisory fee.

Before combining accounts owned by multiple individuals into the same household, there are a few things you should be aware of:

- As mentioned above, all of your household accounts will be listed on the same statement.
- Only one copy of certain communications may be sent to households.
- If you select electronic delivery in Section 8, household communications will be sent to the email address listed in Section 8.

If you wish to combine accounts with another individual into the same household provide their information below:

Name: _____

Last 4 Digits of SSN: _____

5

Change of Financial Adviser.

of

Financial Adviser Name

Rep Number

Financial Adviser's Registered Investment Adviser

(collectively "we") would like to introduce you to Brinker Capital Investments, LLC ("Brinker") for the purpose of you possibly becoming an investment advisory client of Brinker.

We have an arrangement with Brinker under which we refer prospective clients to Brinker in exchange for a referral fee. In accordance with your agreement with Brinker, you will be charged an investment advisory fee. In exchange for referring you to Brinker, we will receive a portion of that advisory fee from Brinker. The referral fee we receive from Brinker for assisting with your relationship may be an amount up to 100% of your investment advisory fee. In addition to the referral fees paid to us for referring you to Brinker may also pay referral fees to us for introducing other advisers to Brinker. These adviser referral fees are flat fees based upon the total assets brought to Brinker through the referral. While the referral fees paid to us by Brinker are not directly billed to clients, our relationship with Brinker may affect their willingness to negotiate a fee lower than their standard investment advisory fee and, therefore, may affect the advisory fees paid by referred clients such as you.

We are not affiliated with Brinker and we are not authorized to provide investment advice on behalf of Brinker or to act for or bind Brinker. No investment advisory agreement with Brinker will become effective until accepted by Brinker at its offices in Omaha, Nebraska.

6

Special Instructions Request.

Use the following space to make any special requests regarding your account.

7

Representative Authorization.

Initial Here

I hereby grant the Solicitor the authority to make certain investment changes to my account with Brinker on my behalf. I understand that by granting this authority to my Solicitor, Brinker may rely upon all requests received from such Solicitor as having been authorized by me. I further understand that Brinker may have internal policies limiting the authority granted to my solicitor by this authorization and consent to

Brinker's limitation of the power delegated by the instrument. This authorization shall remain in effect until Brinker receives written notice of its revocation signed by me, or if otherwise rejected for any reason by Brinker. I hereby agree to indemnify and hold Brinker harmless from any and all liability or claims, including but not limited to damages, court costs, legal fees, and costs of investigation as a result of Brinker's reliance on instructions granted hereunder by me or by my Solicitor.

I will act at all times with proper authority given by the Client for all actions initiated by me pertaining to the Client's account(s) with Brinker. I will not use my authority to engage in market timing or frequent trading activities. I will indemnify and hold harmless Brinker and any of Brinker's agents, officers, directors, managers, and employees against any and all losses, claims, damages, liabilities, actions, proceedings, judgments, or costs, including attorneys' fees, which these parties may incur by relying upon my instructions.

Designated Representative's Signature

8

Electronic Delivery of Notices.

Initial here

Consent to Electronic Delivery of Notices

By initialing this section and providing my email address below, I consent to electronic delivery (rather than in paper format) for all regulatory disclosures, including prospectuses, Brinker's ADV, Brinker's annual privacy notice, ERISA required disclosures, and updates to the same (collectively, "Notices").

In accordance with my consent, I instruct Brinker to deliver all Notices to my e-mail address indicated below, and I acknowledge my responsibility to ensure my e-mail address remains current in Brinker's records. I understand that when a Notice is available which contains my confidential information, I will receive an e-mail instructing me to enter a secure web site to access the Notice, and I will be required to enter a user identification and password (provided by Brinker) before viewing the Notice. I also understand that this consent is effective until revoked and that I may revoke my consent for electronic delivery at any time by providing Brinker written notice. If my account is custodied at Constellation Trust Company ("CTC"), this consent also serves to authorize CTC to implement electronic delivery of my custodial statements and notices from CTC.

By selecting this feature, I acknowledge that (i) electronic delivery is not a condition to retain Brinker's services or CTC's services (if applicable); (ii) all Notices shall be provided in written form for no additional charge; however, I may incur certain expenses in connection with electronic receipt of Notices (e.g., fees charged by an internet service provider or telephone company, and printing); and (iii) electronic delivery entails certain risks (e.g., misdelivery, interception and system outages and delays).

8 Electronic Delivery of Notices Continued.

EmailAddress _____

☐

Initial here

Discontinue Electronic Delivery of Notices

By initialing this section, I consent to delivery by mail in paper format (rather than by email) for all regulatory disclosures, including prospectuses, Brinker's ADV, Brinker's annual privacy notice, ERISA required disclosures, and updates to the same (collectively, "Notices") which are delivered pursuant to my Investment Advisory Agreement or pursuant to my custody agreement with Constellation Trust Company (if applicable).

9 Termination of Services.

☐

Initial here

By initialing this box, I hereby terminate my Investment Advisory Agreement with Brinker. Please cancel all Brinker Investment Advisory Services on my account effective immediately. I understand that my account will remain invested in its present allocation. I further understand that I must contact the custodian directly to ensure my account is presently allocated according to my objectives. PLEASE BE ADVISED: Brinker will charge \$75 for all terminated accounts.

Reason for Termination:

☐

The performance of my account is not where I'd like it to be

☐

The management fee is too high

☐

I am moving to a different money manager

☐

I am unhappy with the service provided by Brinker

☐

Other: _____

10 Client Signatures.

I/we hereby certify that the information contained within this Change Request Form is complete and accurate, and I/we authorize Brinker to make the changes requested in this Change Request Form.

Client Signature

Date

Printed Name

Co-Client Signature

Date

Printed Name

All clients listed on the account must sign.