



Brinker Dollar Cost Averaging Application

Complete and return to:
Brinker Capital Investments, LLC
17605 Wright St. Omaha, NE 68130
Telephone: (888) 455-4244
Fax: (402) 493-2811
Email: NBpaperworkSG@orion.com

- Use this form to enroll in or terminate Dollar Cost Averaging ("DCA")¹.
- DCAs may not be available on all platforms. DCAs are not available on VAs through Brinker.
- All of the monies contributed through DCA will initially be placed in a money market account. Brinker portfolio managers will then determine where the dollars should be allocated based on fundamental and technical analysis and your risk budget.
- Brinker will utilize cash in the managed portfolio for client advisory fees and client requested distributions. Because of this, it is possible that your requested DCA will stop prior to your requested duration date.
- If you terminate Brinker money management services, your DCA will also be terminated.

1 Registration Information

First Name _____ M.I. _____ Last _____

Joint Holder (if applicable): First Name _____ M.I. _____ Last _____

Registration Type (circle one option)

Non-Qualified: ☐ Individual ☐ Joint ☐ Trust ☐ UGMA _____ Other (please specify) _____

Qualified: ☐ IRA ☐ Roth ☐ SEP ☐ PSP ☐ 401(k) ☐ 403(b) _____ Other (please specify) _____

2 Enroll

Duration of DCA: ☐ 3 Months ☐ 6 Months ☐ 9 Months ☐ 12 Months

Transaction process date: ☐ 1st of the month OR ☐ 15th of the month

For new Brinker accounts, the initial DCA will occur on the next "transaction process date" once the account is

funded. Source of DCA: ☐ Current invested funds OR ☐ New funds to be deposited OR ☐ Other (please specify) _____

Custodial Account Number	Monthly Amount to be Invested*
Total Amount Invested	

*Minimum DCA investment amount is \$30,000.

3 Terminate

Initial here to terminate DCA

☐ Invest remaining assets

☐ Leave assets in cash until notified

4 Client's Signature.

I hereby entrust Brinker Capital Investments, LLC to execute the instructions specified above. I have carefully read the terms and conditions listed above and agree to be bound by the terms and conditions of the Brinker Capital Investments, LLC Dollar Cost Averaging Program, as these may be amended.

Signature: _____ Date: _____

Joint Signature: _____ Date: _____

For Brinker Use Only

Time _____ Date _____ Initials _____

Reviewed by _____

¹Dollar cost averaging does not assure a profit and does not protect against a loss in declining markets. Dollar cost averaging involves continuous investment in securities regardless of fluctuating price levels of such securities. An investor should consider his/her financial ability to continue purchases through periods of low price levels.