

Complete and return to:  
 Brinker Capital Investments, LLC  
 17605 Wright Street  
 Omaha, NE 68130 Telephone:  
 888-455-4244 Fax:  
 402-493-2811  
 Email: CLSSG1@orion.com

This Change Request Form contains the most commonly requested account changes. Please complete the appropriate sections, provide the requested information, and sign and date this form. **Please return this form to Brinker at the address linked above.**

**1**

## Current Account Information.

Name:		
<i>First, M.I., Last</i>		
Social Security or Tax ID #:	Date of Birth:	Home Phone Number:
Physical Address (Required):		Business Phone Number:
City:	State:	Zip Code:
E-mail Address:	Plan Name:	

**2**

## Address Change.\*

New Address:		Phone:
City:	State:	Zip:
Previous Address:		Phone:
City:	State:	Zip:

**3**

## Name Change.\*

Please complete this section if you would like to change the name on your account. You must attach supporting documentation such as a copy of your marriage certificate or divorce decree.

New Name:
Previous Name:

**4**

## Termination of Services.

☐ By initialing this box, I hereby terminate my Investment Advisory Agreement with Brinker Capital Investments, LLC ("Brinker"). Please cancel all Brinker Investment Advisory Services on my account effective immediately. I understand that my account will remain invested in its present allocation.

- ☐ The performance of my account is not where I'd like it to be
- ☐ The management fee is too high
- ☐ I am unhappy with the service provided by Brinker
- ☐ I am rolling my account over
- ☐ I want to manage my account on my own
- ☐ Other: \_\_\_\_\_

*\*You must also contact your Plan Sponsor and/or Custodian to update your address in their records*

## Change of Risk Budget.

Please read the questions below and mark the appropriate box that best represents you. Brinker will calculate your Risk Budget based on your answers. Please mark only ONE answer per question.

1. How long do you expect to contribute to this retirement plan WITHOUT withdrawing any money?

- ☐ 3 - 5 years (8)      ☐ 6 - 10 years (14)      ☐ 11 - 15 years (16)      ☐ Greater than 15 years (18)

*Keep in mind that a shorter time horizon usually requires a more conservative portfolio because there is less time to recover short-term losses during market downturns.*

2. Which of the following statements best describes your beliefs about investing?

I am more concerned about avoiding loss than I am about earning large returns. (7)

I don't want to take a lot of risk with my account. (10)

My main goal is to increase the value of my account. Therefore, I am willing to accept short-term losses in order to achieve a moderately higher return. (14)

I am willing to accept large fluctuations in the value of my account for the opportunity of earning a significantly higher return. (18)

3. Please indicate the level of risk you are most comfortable with: (Circle one response)

Not Comfortable				Moderately Comfortable				Very Comfortable		
0	6	8	11	14	16	19	22	25	28	30

*If you select a "0", Brinker's investment methodology may not be appropriate for your portfolio.*

4. How long are you prepared to wait for your account to return to its original value after a down market?

Less than 18 months (7)

Between two and three years (14)

Between 18 months and two years (10)

More than three years (17)

5. How able are you to handle financial emergencies with assets outside of your retirement plan?

Not Able (10)

Able (16)

Somewhat Able (10)

Very Able (17)

## Impose a Risk Budget. (Optional)

I would like to impose a Risk Budget (expressed as a percentage of the risk of a well-diversified equity portfolio) of \_\_\_\_\_.

The higher your Risk Budget, the more aggressive your portfolio will be allocated. If you select a Risk Budget under 20, Brinker's methodology may not be appropriate for your portfolio. A score under 20 will be increased and the portfolio will be managed at a Risk Budget 20.

## Lifestyle Options.

Please enroll me in the Lifestyle Option. By selecting this option I understand that my Risk Budget will decrease by one point each year. Therefore, as I age, my portfolio will become more conservative.

Please remove the Lifestyle Option from my account. By selecting this option I understand that my Risk Budget will not change unless requested by me with a signed letter of instruction or Change Request Form.

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**AdvisorOne 30% Protection Option.**

- ☐ Please enroll me in the AdvisorOne 30% Protection Option (only available on plans using Brinker's AdvisorOne models). The AdvisorOne 30% Protection Option will decrease your Risk Budget each year based on your current Risk Budget AND allocate approximately 30% of your portfolio to a fund designed to offer some protection in the event of a severe market downturn.

9

**Special Instructions.**

Please use this section to specify requested changes not set forth above.

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**Signature.**

The purpose of this section is for you to affirm to us that you have read, understand, and agree to all the terms found in this Change Request Form.

I acknowledge that I have received Brinker's Form CRS.

I hereby authorize Brinker Capital Investments, LLC to make the changes indicated above. I further certify that I have full legal power and authority to authorize the changes requested above and the information provided and contained herein is complete and accurate. I agree to indemnify and hold Brinker harmless for carrying out the changes requested herein.

If the Solicitor on my account has changed, I hereby acknowledge that I have received a copy of Brinker's ADV Part 2A, as well as a copy of the Solicitor's Disclosure Statement describing the arrangements between Solicitor and Brinker.

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 Participant Signature

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 Date