

Investment Strategy Selection

Please complete a separate strategy page for each account.

1. CLIENT INFORMATION. Please print.

Account Title

Client Account # (if available):

Account Value \$:

2. CUSTODIAN.

Please select your desired custodian. You will need to attach the applicable custodial paperwork to this form.

- ☐ TD Ameritrade
 ☐ Schwab
 ☐ Fidelity IWS

3. REGISTRATION TYPES. Select a registration type for your account from the list below. Additional paperwork may be required.

Non-Retirement Accounts:

- ☐ Community Property
☐ Corporation *Corporate Resolution required*
☐ Individual
☐ Joint Tenants in Common
☐ Joint Tenants with Rights of Survivorship
☐ Non-Profit *Corporate Resolution required*
☐ Partnership *Partnership agreement required*
☐ Transfer on Death *Transfer on Death Account Registration Request Form required*
☐ Trust *Certification of Trust Form or trust documents required*
☐ Uniform Gift to Minor
☐ Uniform Transfer to Minor
☐ Other _____

Retirement Accounts:

- ☐ 401(a)
☐ 403(b)/TSA
☐ Beneficiary IRA *Death certificate required*
☐ IRA
☐ IRA Rollover
☐ ORP
☐ Roth IRA
☐ Self-Directed Brokerage Account (SDBA)
☐ SEP *Form 5305 required*
☐ SIMPLE *Form 5304 or 5305 and 5305 SA required*
☐ Single 401(k)
☐ Other _____

4. INVESTMENT OBJECTIVES. Please check the appropriate box for each question. Do not select more than one answer.

1. Which one of the following best describes your primary investment objective?

Wealth Accumulation
Emphasis on continued capital appreciation in accordance with your overall risk tolerance.

☐ (12)

Wealth Maintenance
Emphasis on maintaining a desired lifestyle or level of financial security.

☐ (6)

Wealth Distribution
Emphasis on using wealth for living expenses.

☐ (1)

2. Approximately how many years do you expect to continue to invest your assets in the stock market?

0 - 3
years

☐

(0)

3 - 5
years

☐

(4)

6 - 10
years

☐

(8)

11 - 15
years

☐

(12)

Greater than
15 years

☐

(16)

3. Assume you are investing \$100,000 and can choose from the five hypothetical portfolios shown in the table below. The dollar values for each portfolio represent two possible returns – low and high – after one year.

Assuming there is an equal chance of achieving either result (low or high), indicate which portfolio represents the maximum risk/return trade-off you would be willing to accept.

Possible High Value	\$148,352	\$139,263	\$130,146	\$121,834	\$114,051
Possible Low Value	\$58,863	\$67,529	\$77,073	\$87,514	\$98,860
A	B	C	D	E	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
(12)	(9)	(6)	(3)	(0)	

The maximum gain or loss on an investment is impossible to predict. The ranges shown in the chart are hypothetical and are designed solely to gauge an investor's tolerance for risk.

4. Please indicate the level of risk with which you are most comfortable (select one response):

0

☐

(0)

1

☐

(2)

2

☐

(4)

3

☐

(6)

4

☐

(8)

5

☐

(10)

6

☐

(12)

7

☐

(14)

8

☐

(16)

9

☐

(18)

10

☐

(20)

If you select "0", "1" or "2", Brinker's investment methodology may not be appropriate for your portfolio.

5. How long are you prepared to wait for your account to return to its original value after a down market?

Less than
18 months

☐

(0)

18 months -
2 years

☐

(4)

2 - 3
years

☐

(8)

3 - 5
years

☐

(12)

More than
5 years

☐

(16)

6. My current and future income (salary, pension, etc.) is:

Very secure/
stable

☐

(12)

Mostly
secure/stable

☐

(9)

Secure/
stable

☐

(6)

Somewhat
secure/stable

☐

(3)

Not secure/
stable

☐

(0)

7. How able are you to handle financial emergencies with assets outside of your Brinker account(s)?

Very
able

☐

(12)

Mostly
able

☐

(9)

Able

☐

(6)

Somewhat
able

☐

(3)

Not
able

☐

(0)

Both preferences below are optional.

8. I would like to impose a score (expressed as a percentage of the risk of a well-diversified equity portfolio) of: _____%

The higher your score, the more aggressively your portfolio will be allocated.

9. ☐ Please enroll me in the Lifestyle Option. By selecting this option, I understand that my risk budget will decrease by one point each year. Therefore, as I age, my portfolio will become more conservative. *The Lifestyle Option is not available for Focused strategies and your selection of the Lifestyle Option will be disregarded when enrolling in those strategies.*

If your score is under 20, Brinker's methodology may not be appropriate for your portfolio. A score under 20 will be increased and the portfolio will be managed at a Risk Budget 20.

Brinker STRATEGIES.

The following pages contain Brinker's strategy offerings. Brinker allows you to select multiple strategies within a single custodial account. Next to each strategy, indicate the percentage of your account you would like allocated to the strategy. Strategies from multiple groups can be included in an account (i.e., an ETF strategy and a Focused strategy can be utilized within the same account). However, please note that each strategy has a minimum and your allocation to that strategy must meet the minimum. Following is a guide to help you locate each strategy group.

Section Number	Strategy	Page Number
5	Tax Transition	3
6	ETFs	3
7	Focused	4
8	Master Manager	5
9	AdvisorOne	7
10	Mutual Funds	7

5. TAX TRANSITION STRATEGY.

Strategy Minimum - \$150,000:

Client Initials
listed

Please utilize the Brinker Tax Transition Strategy to transition my existing assets into the Brinker strategy(s) selected on the following pages.

Assets invested in the Brinker Tax Transition Strategy will be billed in accordance with the ETF fee schedule below.

Non-qualified accounts only. For additional information on the Tax Transition Strategy please see Brinker's Form ADV Part 2A.

6. ETF STRATEGIES.

For each Brinker strategy you would like to utilize, please indicate below the percentage (%) of your account you would like allocated to the applicable strategy. A detailed description of each strategy may be found in our Form ADV Part 2A.

Strategy Minimum - \$50,000:

_____ % Core Plus ETF

_____ % ETF Managed Income*

***For Managed Income Only: Do you want to use the Reserve Account?**

☐ Yes (specify amount below)

☐ No

The Reserve Account is a low-risk managed account comprised of low-duration bonds for approaching income needs.

Reserve Account \$ _____

FEE SCHEDULE

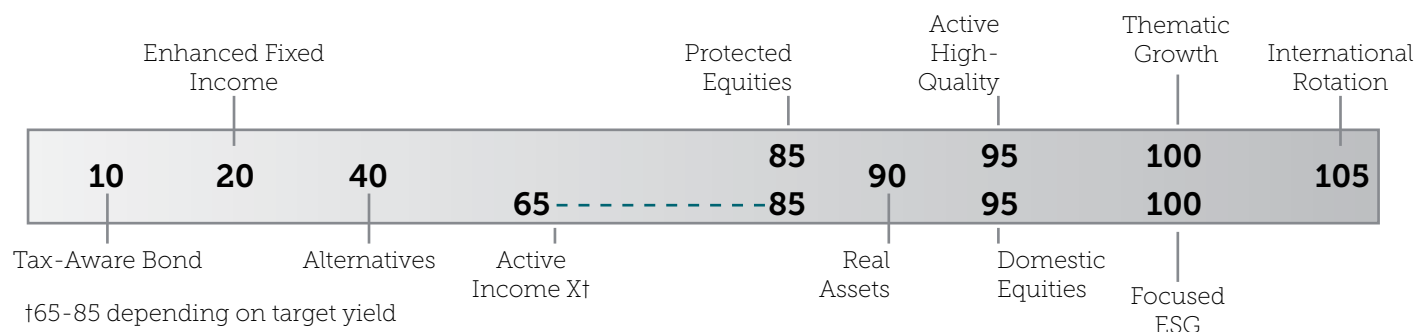
For the investment management services listed above, Brinker will charge an advisory fee based on a percentage of your assets managed by Brinker. A portion of the advisory fee is paid out to your advisor ("Financial Adviser Retained Portion") and the remainder is retained by Brinker ("Brinker Retained Portion"). The annual advisory fee for assets invested in the ETF strategies listed above will be as follows:

Assets Under Management	Brinker Retained Portion	Financial Adviser Retained Portion* (Max. 1.25%)
First \$50,000	0.75%	
Next \$450,000	0.60%	
Next \$500,000	0.55%	
Assets above \$1,000,000	0.50%	

***ALL FOUR TIERS OF THE FINANCIAL ADVISER RETAINED PORTION OF THIS FEE SCHEDULE MUST BE COMPLETED WITH A PERCENTAGE NOT TO EXCEED THE MAX FEE (1.25%).**

7. FOCUSED STRATEGIES.

Brinker's Focused strategies employ an active allocation approach to target specific investment objectives or themes. Each strategy is managed to a specific long-term risk target, as indicated below. However, the risk of these strategies may change based upon current market conditions. The strategy is designed to function as a complement and overlay to a traditional asset allocation and should be used only for a portion of an overall diversified investment portfolio.



To enroll in a Brinker Focused strategy, you must answer all four questions below. In order to ensure Brinker's Focused strategies are appropriate for your portfolio, if all four questions are marked "No," Brinker is unable to manage your account.

1. Are you seeking a strategy that can be used as a satellite/complement to your existing portfolio? ☐ Yes ☐ No
2. Are you seeking a strategy that targets a given segment/region of the market? ☐ Yes ☐ No
3. Are you seeking a strategy that may have higher potential turnover than a balanced portfolio? ☐ Yes ☐ No
4. Are you seeking a strategy that carries more risk than cash or can have as much risk as an aggressive equity portfolio? ☐ Yes ☐ No

For each Brinker strategy you would like to utilize, please indicate below the percentage (%) of your account you would like allocated to the applicable strategy. A detailed description of each strategy may be found in our Form ADV Part 2A.

Strategy Minimum - \$50,000

_____ % Tax Aware Bond	_____ % Real Assets	Active Income X
_____ % Enhanced Fixed Income	_____ % Active High-Quality	_____ % Target Yield: 3%
_____ % Alternatives	_____ % Domestic Equities	_____ % Target Yield: 4%
_____ % Protected Equities	_____ % International Rotation	_____ % Target Yield: 5%
_____ % Thematic Growth	_____ % Focused ESG	_____ % Target Yield: Max

FEE SCHEDULE

For the investment management services listed above, Brinker will charge an advisory fee based on a percentage of your assets managed by Brinker. A portion of the advisory fee is paid out to your advisor ("Financial Adviser Retained Portion") and the remainder is retained by Brinker ("Brinker Retained Portion"). The annual advisory fee for assets invested in the Focused strategies listed above will be as follows:

Assets Under Management	Brinker Retained Portion	Financial Adviser Retained Portion* (Max. 1.25%)
First \$50,000	0.75%	
Next \$450,000	0.60%	
Next \$500,000	0.55%	
Assets above \$1,000,000	0.50%	

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Households utilizing a Focused strategy will be charged a minimum annual advisor fee of \$400 by Brinker. For purposes of calculating the minimum fee, Brinker will only look at the Brinker Retained Portion of the advisory fee. The fee will be calculated at the household level, so if the client has additional accounts, fees paid for those accounts will be credited toward the calculation of the minimum annual fee.

8. MASTER MANAGER.

For each Brinker strategy you would like to utilize, please indicate below the percentage (%) of your account you would like allocated to the applicable strategy. A detailed description of each strategy may be found in our Form ADV Part 2A.

_____ % Master Manager

1. What is the primary objective for your account?

- ☐ Accumulation (Master Manager Strategy) **Minimum \$500,000**
- ☐ Income Generation* (Master Manager Managed Income Strategy) **Minimum \$500,000**
- ☐ Tax Management* (Master Manager Tax Managed Strategy) **Minimum \$750,000**

2. Would you like Brinker to utilize individual stocks? ☐ Yes ☐ No

3. Would you like Brinker to utilize individual bonds? ☐ Yes ☐ No

**If you select the Master Manager Tax-Managed Strategy, please complete the Tax Information section. If you select the Master Manager Managed Income Strategy, please complete the Reserve Account Preferences section.*

FEE SCHEDULE

For the investment management services listed above, Brinker will charge an advisory fee based on a percentage of your assets managed by Brinker. A portion of the advisory fee is paid out to your advisor ("Financial Adviser Retained Portion") and the remainder is retained by Brinker ("Brinker Retained Portion"). The annual advisory fee for assets invested in Brinker's Master Manager Strategy listed above will be as follows.

Assets Under Management	Brinker Retained Portion	Financial Adviser Retained Portion* (Max. 1.25%)
First \$50,000	0.75%	
Next \$450,000	0.60%	
Next \$500,000	0.55%	
Assets above \$1,000,000	0.50%	

***ALL FOUR TIERS OF THE FINANCIAL ADVISER RETAINED PORTION OF THIS FEE SCHEDULE MUST BE COMPLETED WITH A PERCENTAGE NOT TO EXCEED THE MAX FEE (1.25%).**

8. MASTER MANAGER (continued).

FOR TAX-MANAGED STRATEGIES ONLY: TAX INFORMATION.

1. What is your highest (marginal) federal tax rate?

(Your marginal tax rate is the rate of tax that would be paid on an additional dollar of income.)

☐ Less than or equal to 25% ☐ 28% ☐ 33% ☐ 35% ☐ 39%

2. If your marginal tax rate is above 25%, please indicate your effective tax rate: _____

(The effective tax rate is the rate of tax paid on your total income.)

3. In which state do you pay taxes? _____

4. Are you subject to Alternative Minimum Tax? ☐ Yes ☐ No

(The alternative minimum tax is an extra tax some people must pay in addition to regular income tax.)

5. Are there investments within your current portfolio that will need to be liquidated in a tax efficient manner? ☐ Yes ☐ No

(Cost basis information is required in order to implement an effective tax efficient strategy.)

6. If yes, would you like to impose a specific plan for liquidation of current assets? ☐ Yes ☐ No

Please specify the plan: _____

FOR MASTER MANAGER MANAGED INCOME STRATEGIES ONLY: RESERVE ACCOUNT PREFERENCES.

***Do you want to use the Reserve Account?**

☐ Yes (specify amount below) ☐ No

The Reserve Account is a low-risk managed account comprised of low-duration bonds for approaching income needs.

Reserve Account \$ _____

SUPPLEMENT TO INVESTMENT ADVISORY AGREEMENT & CONSENT TO USE OF SUB-ADVISER.

Consent to use of sub-adviser. The language below supplements the terms of your Investment Advisory Agreement with Brinker Capital Investments, LLC ("Brinker"). Capitalized words referenced refer to their corresponding definitions in the Investment Advisory Agreement:

Client agrees that in providing investment advisory services, Brinker may retain Crossmark Global Investments ("Crossmark"), a federally registered investment adviser, as a sub-adviser to manage all or a portion of Client's Managed Assets. Client understands that Brinker will determine whether to utilize Crossmark for the client's portfolio based on the Client's investment objectives as indicated in the Client Profile and this Strategy Page. Client understands that Crossmark has agreed to abide by all applicable laws and written policies, procedures and guidelines governing the management of the Client's account, including the protection of the Client's confidential information. Client hereby grants Crossmark, as applicable, with full trading authority to buy, sell, or otherwise effect investment and reinvestment transactions involving the Managed Assets without first consulting the Client, in accordance with the Client Profile and the selected Brinker Service. Client further understands and agrees that Brinker may retain the services of additional sub-advisers or replace existing sub-advisers by providing the Client advanced written notice.

Brinker agrees that it will provide continual supervision of the Client's account and the actions of Crossmark in connection with the Client's Managed Assets. Upon proper notice by the Client, Brinker will refrain from the appointment of, or terminate as permitted under applicable contracts, any sub-adviser appointment pursuant to this authority. Brinker also agrees that it will be responsible for the payment of any advisory fee charges of Crossmark with respect to the Managed Assets unless otherwise agreed to in writing by the Client.

9. ADVISORONE FUND STRATEGIES.

For each Brinker strategy you would like to utilize, please indicate below the percentage (%) of your account you would like allocated to the applicable strategy. A detailed description of each strategy may be found in our Form ADV Part 2A.

Strategy Minimum - \$10,000:

_____ % AdvisorOne Funds

_____ % AdvisorOne 100% Protection*

**This portion of your account will be 100% allocated to the Brinker Shelter Fund. Accordingly, the AdvisorOne 100% Protection Strategy will not be managed pursuant to your risk budget. This strategy is designed to be a part of, or supplement to, and not a substitute for, an overall well-diversified investment portfolio.*

FEE SCHEDULE

For the investment management services listed above, Brinker will charge an advisory fee based on a percentage of your assets managed by Brinker. A portion of the advisory fee is paid out to your advisor ("Financial Adviser Retained Portion") and the remainder is retained by Brinker ("Brinker Retained Portion"). The annual advisory fee for assets invested in the AdvisorOne strategies listed above will be as follows:

Assets Under Management	Brinker Retained Portion*	Financial Adviser Retained Portion** (Max. 1.25%)
First \$50,000	0.00%	
Next \$450,000	0.00%	
Next \$500,000	0.00%	
Assets above \$1,000,000	0.00%	

**To offset fees received by Brinker from Affiliated Funds client assets invested in Affiliated Funds are excluded from the calculation of Brinker's advisory fee. For additional information please see the attached Affiliated Fund Fee Disclosure.*

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10. MUTUAL FUND STRATEGIES.

For each Brinker strategy you would like to utilize, please indicate below the percentage (%) of your account you would like allocated to the applicable strategy. A detailed description of each strategy may be found in our Form ADV Part 2A.

Strategy Minimum - \$10,000

_____ % American Funds

_____ % American Tax Aware

_____ % Risk Budgeted ESG

FEE SCHEDULE

For the investment management services listed above, Brinker will charge an advisory fee based on a percentage of your assets managed by Brinker. A portion of the advisory fee is paid out to your advisor ("Financial Adviser Retained Portion") and the remainder is retained by Brinker ("Brinker Retained Portion"). The annual advisory fee for assets invested in the mutual fund strategies listed above will be as follows:

Assets Under Management	Brinker Retained Portion	Financial Adviser Retained Portion* (Max. 1.25%)
First \$50,000	0.60%	
Next \$450,000	0.45%	
Next \$500,000	0.40%	
Assets above \$1,000,000	0.35%	

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11. INVESTMENT RESTRICTIONS.

Describe below any investment restrictions you would like to impose on your account (e.g., limiting your account to socially responsible funds). If the restriction applies to a specific strategy, please indicate that below.

Please Note: Some restrictions you request may limit Brinker's ability to manage your account and your account's performance may differ from the performance of accounts with or without similar restrictions.

If your account currently holds a position that you want to continue to hold, please list it above. If it is not listed, it will be liquidated by Brinker and the proceeds will be allocated to the strategy indicated above. Certain mutual funds may impose a deferred sales charge (load) when you sell your shares. Please refer to the fee and expense table in the prospectus or profile. Additionally, certain custodians may require a minimum holding period for securities purchased commission-free. You may be charged a short-term trading fee if the minimum holding period is not met. Please contact your custodian for more information regarding these fees.

12. FINANCIAL ADVISER AUTHORIZATION (LIMITED POWER OF ATTORNEY). This section is optional.



Client Initials

I hereby grant the Financial Adviser the authority to make certain investment changes to my account with Brinker on my behalf. I understand that by granting this authority to my Financial Adviser, Brinker may rely upon all requests received from such Financial Adviser as having been authorized by me. I further understand that Brinker may have internal policies limiting the authority granted to my Financial Adviser by this authorization and consent to Brinker's limitation of the powers delegated by the instrument. This authorization shall remain in effect until Brinker receives written notice of its revocation signed by me, or if otherwise rejected for any reason by Brinker. I hereby agree to indemnify and hold Brinker harmless from any and all liability or claims, including but not limited to damages, court costs, legal fees, and costs of investigation as a result of Brinker's reliance on instructions granted hereunder by me or by my Financial Adviser.

13. CLIENT SIGNATURES.

I/we acknowledge receipt of Brinker's Form CRS and I/we hereby certify Part 2A & 2B of Brinker's Form ADV, as well as a copy of the Financial Adviser Disclosure Statement describing the arrangements between the Financial Adviser and Brinker as found in Section 1 of the Client Profile.

I certify that (1) I have elected Brinker to act as investment adviser to my account(s) listed herein and I have received a copy of the Investment Advisory Agreement and any supplements or amendments thereto that govern the management of my account(s) and have read, understand, and agree to the terms and conditions contained therein, (2) I agree to pay the Advisory Fees set forth herein, and (3) I have the full legal power and authority to enter into this Investment Advisory Agreement.

I hereby authorize my financial representative ("Financial Adviser") identified under the "Financial Adviser Signature" section of the Client Profile to communicate with Brinker to obtain all information regarding my account and to request processing of redemption requests to my address on file. I agree to indemnify and hold Brinker harmless for releasing my information to my Financial Adviser and processing redemption requests to my address of record pursuant to instructions issued by the Financial Adviser.

Client/Trustee Signature (required)

Date

Co-Client/Co-Trustee Signature (if more than one, all principals must sign)

Date

ADVISORONE FUNDS STRATEGIES

For strategies utilizing the Brinker-managed AdvisorOne Funds ("Affiliated Funds"), Brinker and its affiliates will earn fees directly from the Affiliated Funds for providing separate services to the Affiliated Funds.

As described in the AdvisorOne Funds prospectus, the Affiliated Funds expenses are subject to fees of up to 1.25%, which represents the highest amount of all direct operating expenses of the Affiliated Funds. Included in these operating expenses is Brinker's fees for managing the Affiliated Funds. Because Brinker and/or its affiliates receive fees for services provided to the Affiliated Funds, the Total Advisory Fee paid by will not include the Brinker's Retained Portion of the advisory fee. Brinker would receive its compensation from the Affiliated Funds in which your assets are invested and Brinker receives up to a maximum of 0.75% from the Affiliated Funds.

For clients electing a Brinker Affiliated Funds strategy, up to one hundred percent (100%) of your assets will be invested in Affiliated Funds. Brinker's Hybrid Strategies utilize a specified combination of Affiliated Funds and non-affiliated investment options. For clients electing a Hybrid strategy, up to seventy-five percent (75%) of your assets will be invested in Affiliated Funds. Because markets fluctuate and the exact allocation to our Affiliated Funds in Hybrid strategies will fluctuate along with the market, Brinker uses the maximum allocation to Affiliated Funds for purposes of calculating the amount of assets excluded from the Brinker Retained Portion of the advisory fee. The table below lists the Brinker Retained Portion of the advisory fee for strategies that utilize Affiliated Funds:

Strategy	Allocation to Affiliated Funds	First \$50,000	Next \$450,000	Next \$500,000	Over \$1,000,000
AdvisorOne Funds	100%	0.00%	0.00%	0.00%	0.00%
AdvisorOne Protection	100%	0.00%	0.00%	0.00%	0.00%
AdvisorOne Hybrid 75	75%	0.12%	0.09%	0.08%	0.07%
AdvisorOne Hybrid 50	50%	0.27%	0.20%	0.18%	0.16%
AdvisorOne Hybrid 30	30%	0.39%	0.29%	0.26%	0.23%
American Hybrid	30%	0.39%	0.29%	0.26%	0.23%
American Hybrid Protection	30%	0.39%	0.29%	0.26%	0.23%